

	Docket No.: 283124US0X PCT	Serial No.: 10/528,360
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Inventor: Haruo SUGIYAMA, et al.	
	Filing Date: March 18, 2005	Group: 1615

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
/RN/	283124US0XPCT*	10/528,360	03/18/05		SUGIYAMA, et al.
/RN/	284034US40CONT	11/322,245	01/03/06		SUGIYAMA, et al.

Examiner /Ronald Niebauer/ Date Considered 05/21/2007

*Present Application; listed for information
NFO/hah

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 283124US0X PCT		SERIAL NO. 10/528,360	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Haruo SUGIYAMA, et al.			
				FILING DATE March 18, 2005		GROUP 1615	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
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	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
/RN/	AO	00 18795	04/06/00	WO		NO	
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
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	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner /Ronald Niebauer/					Date Considered 05/21/2007		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

JCO5 Rec'd PCT/PTO 18 MAR 2005

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Complete if Known		
			Application Number	Not Yet Assigned 10/528360	
			Filing Date	March 18, 2005	
			First Named Inventor	Haruo SUGIYAMA	
			Art Unit	N/A	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	0020-5357PUS1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
/RN/	BA**	WO-02/79253-A	10-10-2002			
/RN/	BB**	WO-03/28758-A	04-10-2003			

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
/RN/	CA**	TSUBOI et al., Cancer Immunol Immunother., (2002 Dec.), Vol. 51, No. 11-12, pages 614 to 620.		
/RN/	CB**	MAKITA et al., Clin Cancer Res., (2002 Aug.), Vol. 8, No. 8, pages 2626 to 2631.		

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Examiner Signature	/Ronald Niebauer/	Date Considered	05/21/2007
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PTO/SB/08a/b (08-03)

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete If Known	
				Application Number	10/528,360-Conf. #5008
				Filing Date	March 18, 2005
				First Named Inventor	Haruo SUGIYAMA
				Art Unit	1615
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	0020-5357PUS1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
/RN/	BA	EP-1 371 664-A1	12-17-2003			
↓	BB	EP-1 447 092-A1	08-18-2004			
	BC	EP-1 103 564-A1	05-30-2001			

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
/RN/	CA	L. Meadows et al., <i>Immunity</i> , Vol. 6, 273-281, March 1997			
↓	CB	Y. Kawakami et al., <i>The Journal of Immunology</i> , 1998, 161: 6985-6992			
	CC	D. J. Kittlesen et al., <i>The Journal of Immunology</i> , 1998, 160: 2099-2106			
	CD	K. M. Call et al., <i>Cell</i> , Vol. 60, 509-520, Feb. 9, 1990			

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Examiner Signature	/Ronald Niebauer/	Date Considered	05/21/2007
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Birch, Stewart, Kolasch & Birch, LLP

GMM/las



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	Inventor: Haruo SUGIYAMA, et al.	
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/RN/ ↓	275588US96PCT	10/490,873	06/17/04	US2004/0247609 A1	SUGIYAMA
	254147US40PCT	09/744,815	01/30/01		SUGIYAMA, et al.
	254149US40PCT	10/471,835	09/15/03	US2004/0097703 A1	SUGIYAMA
	275595US96PCT	10/490,865	06/17/04	US2005/0002951 A1	SUGIYAMA, et al.
	275596US96XPCT	10/517,600	12/13/04		SUGIYAMA, et al.
	283121US0PCT	10/527,692	03/11/05		SUGIYAMA
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	276146US40DIV	11/196,459	08/04/05	US2005/0266014 A1	SUGIYAMA, et al.
	276141US40CONT	11/196,452	08/04/05		SUGIYAMA, et al.
	283125US0XPCT	10/541,821	07/11/05		SUGIYAMA, et al.

Examiner /Ronald Niebauer/

Date Considered 05/21/2007

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 283124US0X PCT		SERIAL NO. 10/528,360	
<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 1px solid black; border-radius: 50%; padding: 10px; text-align: center; margin: 0 10px;"> O I P E JAN 03 2006 PATENT & TRADEMARK OFFICE </div> <div style="text-align: left;"> LIST OF REFERENCES CITED BY APPLICANT </div> </div>				APPLICANT Haruo SUGIYAMA, et al.			
				FILING DATE March 18, 2005		GROUP 1615	
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/RN/	AA	2004/0247609	12/09/04	SUGIYAMA			
↓	AB	2004/0097703	05/20/04	SUGIYAMA			
	AC	2005/0002951	01/06/05	SUGIYAMA ET AL			
	AD	2005/0266014	12/01/05	SUGIYAMA ET AL			
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
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	AZ						<input type="checkbox"/> Additional References sheet(s) attached
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/RN/	283124US0XPCT*	10/528,360	03/18/05		SUGIYAMA, et al.
/RN/	283629US0PCT	10/562,486	12/27/05		SUGIYAMA

Examiner

/Ronald Niebauer/

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